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## 2157.15

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: MCELWAIN, Thomas P.

Group Art Unit: 2157

Serial No.

10/531,772

Examiner:

Filing or 371 (c) Date: 04/19/2005

Atty. Dkt. No: 20030076-US

For:

JOINT SYMBOL, AMPLITUDE, AND RATE ESTIMATOR

To:

Mail Stop Amendment

Fm:

42716

Commissioner for Patents PO Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING 37 CFR 1.8: I certify that this correspondence is being deposited on the below date with the U.S. Postal Service with sufficient postage as FIRST CLASS MAIL addressed to: Mail Stop Amendment, Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450

Date:

4/21/06

[x] Dawn M. Case

Commissioner:

### TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Transmitted herewith is the Applicant's Information Disclosure Statement, 2 sheets form PTO/SB/08A and/or PTO/SB/08B, and 1 references and other information. Pursuant to 37 CFR 1.98 (a)(2)(i) applicant has not transmitted herewith copies of cited U.S. Patents and U.S. patent application publications as the above application was filed after June 30, 2003. Please enter in the above application and communicate in all related matters with the undersigned.

DEPOSIT ACCOUNT 190130 AUTHORIZATION – All necessary fees are intended to be included, however the Office is hereby authorized to charge any deficiency or credit any overpayment in the fees to the above deposit account, owned by BAE SYSTEMS Information and Electronic Systems Integration Inc. an authorized signator for which is Kevin M. Perkins, V.P. & Company Counsel, and for whom the undersigned are authorized agents.

Respectfully submitted,

Cus. No. 42716 Maine & Asmus PO Box 3445

Nashua, NH 03061-3445

Tel. No. (603) 886-6100, Fax. No. (603) 886-4796

Info@maineandasmus.com

Scott J. Asmus, Reg. No. 42,269

Andrew P. Cernota, Reg. No. 52,711

Kristina M. Grasso, Reg. No. 39,205

Attorneys/Agents for Applicant

# APR 2 6 2006 W

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4/21/06

[x] Dawn M. Case

Commissioner:

#### **INFORMATION DISCLOSURE STATEMENT**

Applicants submit this statement and the attached 2 sheet(s) of form PTO/SB/08A and/or PTO/SB/08B and 1 references and other information in accordance to the duty of disclosure under 37 C.F.R. §§1.56,1.97, and 1.98, and requests consideration hereof. Pursuant to 37 CFR 1.98 (a)(2)(i) applicant has not transmitted herewith copies of cited U.S. Patents and U.S. patent application publications as the above application was filed after June 30, 2003.

Compliance with 37 C.F.R. §1.97: This Information Disclosure Statement is filed within three (3) months of the filing date of a National Application or before the mailing date of a first office action on the merits. No fee or certification is required.

<u>Information Cited:</u> The Applicants hereby make of record in the above-identified application, the information listed on the attached form PTO/SB/08A and/or PTO/SB/08B. The order of presentation of the references should not be construed as an indication of the importance of the reference. As all the references listed on attached Form PTO/SB/08A and/or PTO/SB/08B are in English, no commentary is required.

<u>Remarks:</u> A copy of each reference, together with a listing on Form PTO/SB/08A and/or PTO/SB/08B, is submitted herewith. Applicants respectfully request that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

- 2. The enclosed form PTO/SB/08A and/or PTO/SB/08B be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application; and
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined in 37 C.F.R. §102.

Notwithstanding any statement by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Cus. No. 42716 Maine & Asmus PO Box 3445

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Nashua, NH 03061-3445

Tel. No. (603) 886-6100, Fax. No. (603) 886-4796

Info@maineandasmus.com

Scott J. Asmus, Reg. No. 42,269

Andrew P. Cernotta, Reg. No. 52,711

Seat lan

Kristina M. Grasso, Reg. No. 39,205 Attorneys/Agents for Applicant

PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

Sheet 1

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/531,772			
Filing Date	04/19/2005			
First Named Inventor	McElwain, Thomas P.			
Art Unit	2157			
Examiner Name				
Attorney Docket Number	20030076-US			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	itials* No.1		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2 (If known)</sup>			Figures Appear
		<sup>US-</sup> 2003/0179698 A1	09-25-2003	Lu	
		<sup>US-</sup> 2003/0189979 A1	10-09-2003	Li et al.	
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (# known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>	
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Cassallate to tollin 14457 10				Application Number	10/531,772
INFORMATION DISCLOSURE				Filing Date	04/19/2005
STA	STATEMENT BY APPLICANT			First Named Inventor	McElwain, Thomas P.
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Sheet	2	of	2	Attorney Docket Number	20030076-US

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		PCT International Search Report dated April 5, 2006 of International Application No. PCT/US03/38190 filed November 25, 2003.			

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